

Table of Contents

1	General Information	4
2	XML Structure	4
3	Notation	5
3.1	Mandatory-If Known-Either	5
3.2	Repeat	5
3.3	Element Type	6
4	Remittance Element	7
4.1	SoftwareInformation Element	7
4.2	Payment Element	7
4.2.1	Payment TypeCode Element Values	7
4.3	Remitter Element	8
4.4	Holder Element	8
4.4.1	ParentCompany Element	10
4.4.2	Incorporated Element	10
4.4.3	Report Element	10
4.4.3.1	Report TypeCode Element Values	11
4.4.3.2	Property Element	11
4.4.3.2.1	PropertyTypeCode Element Values	14
4.4.3.2.2	DueDiligencePerformed Element	17
4.4.3.2.3	ReturnedByPostOffice Element	17
4.4.3.2.4	PeriodicPaymentDates Element	18
4.4.3.2.5	AlternateLocation Element	18
4.4.3.2.6	OFAC Element	18
4.4.3.2.6.1	OFAC OwnerType Element Values	19
4.4.3.2.7	Owner Element	19
4.4.3.2.7.1	Owner TypeCode Element Values	19
4.4.3.2.7.2	Identity Element	21
4.4.3.2.7.2.1	USTaxID Element	21
4.4.3.2.7.2.1.1	USTaxID TypeCode Element Values	21
4.4.3.2.7.2.2	DriversLicense Element	21
4.4.3.2.7.3	RelationshipCode Element Values	21
4.4.3.2.7.4	Deceased Element	24

4.4.3.2.8	Beneficiary Element.....	24
4.4.3.2.8.1	Beneficiary TypeCode Element Values	24
4.4.3.2.9	Cash Element.....	25
4.4.3.2.9.1	Interest Element.....	25
4.4.3.2.10	Bond Element.....	25
4.4.3.2.11	Security Element	25
4.4.3.2.11.1	Security TypeCode Element Values	27
4.4.3.2.11.2	Original Security Element	27
4.4.3.2.11.3	Dividends Element	28
4.4.3.2.11.3.1	Handling Element Values	28
4.4.3.2.11.4	DeliveryMethod Element Values.....	28
4.4.3.2.11.5	Market Element Values	29
4.4.3.2.11.6	Status Element Values.....	29
4.4.3.2.11.7	Security Adjustment Element.....	29
4.4.3.2.11.7.1	Security Adjustment TypeCode Element Values	30
4.4.3.2.11.8	CostBasis Element	30
4.4.3.2.12	Safekeeping Element	30
4.4.3.2.12.1	Fee Element.....	30
4.4.3.2.12.1.1	Fee TypeCode Element Values.....	31
4.4.3.2.12.2	Inventory Element	31
4.4.3.2.12.2.1	ItemCode Element Values	31
4.4.3.2.12.3	SavingsBond Element.....	32
4.4.3.2.12.3.1	SavingsBond Owner Element.....	32
4.4.3.2.13	MineralInterests Element	32
4.4.3.2.14	Cryptocurrency Element	34
4.4.3.2.14.1	AlternativeID Element.....	35
4.4.3.2.15	Property Adjustment Element.....	35
4.4.3.2.15.1	Property Adjustment TypeCode Element Values	35
4.4.3.3	Report Adjustment Element.....	36
4.4.3.3.1	Report Adjustment TypeCode Element Values	36
4.4.4	Negative Report Element.....	36
4.5	Remittance Attributes	36
5	Security Element Types	36

- 5.1 Security Structural Element Types36
 - 5.1.1 AlternativeSecurityIDTypes.....37
 - 5.1.2 AlternativeSecurityIDTypes TypeCode Element Values37
- 5.2 Security Data Element Type Elements37
- 6 Cryptocurrency Element Types37
 - 6.1 Cryptocurrency Data Element Types38
- 7 Common Element Types38
 - 7.1 Common Structural Element Types38
 - 7.1.1 ContactType38
 - 7.1.1.1 ContactType TypeCode Element Values38
 - 7.1.1.2 PrimaryAddress Element39
 - 7.1.1.3 AlternateAddress Element39
 - 7.1.1.3.1 AlternateAddress TypeCode Element Values.....39
 - 7.1.2 ForeignAddressType.....39
 - 7.1.3 ForeignCompanyInfoTypeCode.....40
 - 7.1.4 PersonNameTypeCode.....41
 - 7.1.5 TelephoneNumberTypeCode41
 - 7.1.6 USAddressTypeCode41
 - 7.1.7 USCompanyInfoTypeCode41
 - 7.2 Common Data Element Types42
 - 7.3 Common Code Types.....42
 - 7.3.1 CountryCodeType Values42
 - 7.3.2 United States State and Territory StateTypeCode Values43

1 General Information

The National Association of Unclaimed Property Administrators (NAUPA) has created this reporting format to make electronic reporting more uniform. Two earlier NAUPA formats have been supported and endorsed, first in the 1990s and then 2000s. They have been modified over the years. This most recent version has revised data elements, descriptions, and codes for clarity and to receive the best information to allow states to find owners. The format has moved from the fixed width format to Extensible Markup Language (XML).

Note: When using the NAUPA format to report, do not mix and match which version is used. Check with the jurisdictions in which you will report to determine which version(s) of the NAUPA layout is accepted.

The purpose of this document is to assist all parties with understanding the general layout structure and identifying all the various data elements and codes. Included with this document is an XML Schema Definition (XSD). The XSD provides specific guidance on how to create and test your final XML file before sending it to the appropriate Unclaimed Property jurisdiction. The XSD file contains logic which allows an associated XML file to be validated, to ensure that the data has been entered and is in the proper format. For example, if the XSD requires that a particular field contain a dollar amount, the presence of non-numeric characters will generate an error.

In addition to the XSD each state may have additional reporting requirements. NAUPA encourages you to check with each Unclaimed Property jurisdiction if they have any additional requirements or verification that will be performed.

2 XML Structure

XML is a language used for storing and transporting data. XML can be read and processed by computers but can also be human-readable. Tags label, categorize, and organize information in a specific way. A tag for a specific data element is placed between angle brackets (< >).

There are two types of tags: starting tags, which identify the starting point for the tagged data and ending tags, which denote the end point. The ending tag for a particular starting tag has a forward slash added to the beginning. Here is a sample XML tag for a Name data element, or field:

Note: XML tags cannot contain spaces, and it is generally considered a best practice to use camel case for tag names.

```
<Name>John Smith</Name>
```

XML also permits tags to be embedded within each other to denote hierarchical or related data. Here is a more complex example of an XML representation of some data about a particular person:

```
<Person>  
  <Name>John Smith</Name>  
  <PhoneNumber>  
    <HomeNumber>506 555 3555</HomeNumber>  
    <MobileNumber>506 555 7653</MobileNumber>  
  </PhoneNumber>
```

</Person>

The specific type of data to be contained between defined tags can be specified in a separate XSD file. This makes it possible to ensure that data transmitted by XML is of the valid type, thereby making it easier for the recipient to process the file correctly.

Below is a link to an example NAUPA-III XML file that conforms to the NAUPA-III XML schema.

<https://github.com/NAUPAIII/NAUPA-III-Schema/blob/main/Remittance.xml>

3 Notation

This section describes some of the notation used in the following XML element descriptions.

3.1 Mandatory-If Known-Either

The M/K/E notation specifies whether an element must appear at a particular location in the XML or not.

Appearance Status	Notation	Meaning
Mandatory	M	The element must appear at least once at the specified location in the XML document. The absence of the element will trigger a schema validation error and would indicate an invalid NAUPA report that cannot be processed.
If Known	K	The element is optional within the XML structure. If present, it must be at the specified location within the XML, but its absence does not trigger a schema validation error.
Either	E/M	Either the named element may appear, OR another adjacent “E” element (i.e., in a row above or below the current one) may appear. One of the elements must appear.

3.2 Repeat

The Repeat notation specifies how many times an element may appear at its defined location within the XML. It is related to the M/K/E notation but adds information about how many times an element may appear if it is allowed to repeat.

Repeat	Notation	Meaning
Exactly One	1	The element must appear exactly once at the specified location in the XML document. The absence of the element will trigger a schema validation error and would indicate an invalid NAUPA report that cannot be processed.
One or More, Bounded	1..N	The element must appear at least once, and may repeat up to N times, where N is a fixed number.
One or More, Unbounded	1..*	The element must appear at least once and may repeat an unlimited number of times.
Zero or More, Bounded	0..N	The element may be absent, or may appear up to N times, where N is a fixed number.

Zero or More, Unbounded	0..*	The element may be absent or may appear an unlimited number of times.
-------------------------	------	---

3.3 Element Type

The type of an element may be a structural type that contains other sub-elements, or it may be a data type that places restrictions on the data contained between the element's start and end tags. Structural element types are known as "complex types" in XML schema terminology, and data element types are known as "simple types."

In an XML schema document, a structural or data type may be defined explicitly and given a name, or it may be defined in-line and remain nameless (we will call this an "anonymous" data type herein). Named data types are reusable and may be referenced anywhere within a schema document, but anonymous ones can be used only at the point of their definition. The XML schema syntax for both named and anonymous data types is lengthy and difficult to read, so the following abbreviated data type notations are used in this document.

The Type column in the following element definition tables can either use the above notation or be a link to a named or anonymous structural or data type. By convention, links to an anonymous type use a section number (e.g. Section 4.1), and links to a named type use the assigned name from the XML schema (e.g. ContactType).

Type	Notation	Meaning
Variable-length string	string(0..N) string(0..*) string(M..N) string(M..*) string(M..N): pattern	Variable-length string values with upper and lower bounds. A lower bound of zero means the string can be empty, and an upper bound of * means there is no limit on how long the string can be. Strings with the "pattern" notation match regular expression patterns as defined in the XML schema standard.
Fixed-length string	string(N)	Fixed-length string containing N characters.
Decimal number	decimal(M,N)	A decimal number that has a maximum of M digits and a precision of N decimal places.
Integer	integer(N)	An integer that has a maximum of N digits.

4 Remittance Element

Sub-Element	Definition	M/K/E	Repeat	Type
SoftwareInformation	Information about the software that generated this unclaimed property report.	M	1	Section 4.1
Payment	Information about a payment made for this remittance.	K	0..1	Section 4.2
Remitter	Remitter information.	M	1	Section 4.3
Holder	Holder information.	M	1..*	Section 4.4

4.1 SoftwareInformation Element

Sub-Element	Definition	M/K/E	Repeat	Type
Version	Version of the software that generated this unclaimed property report.	M	1	string(1..50)
Contact	Contact information for the company that developed the software that generated this unclaimed property report.	M	1	Section 7.1.1
Jurisdiction	Identify the jurisdiction this report was created for.	M	0..1	Section 7.3.2

4.2 Payment Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Payment type code.	M	1...*	Section 4.2.1
ConfirmationNumber	Check #, Wire ID#, or other identifying number for the remittance.	K	1	string(1..50)

4.2.1 Payment TypeCode Element Values

Code	Description	Definition
Online	State Website	A payment that is initiated through the State's portal. The payment may be made by different methods including but not limited to ACH, Wire or Check.
Check	Check	A check is a written, dated, and signed instrument directing a bank to pay upon its presentation to the person designated in it, or to the person possessing it, a certain sum of money from the account of the person who draws it. Not administered through the State's website portal.
Wire	Wire	A wire is a method of moving funds between people or entities over an electronic payments system. A wire transfer can be made from one bank account to another bank account, or through a transfer of cash at a cash office. Not administered through the State's website portal.

ACH Credit	ACH Credit	An ACH credit is an electronic transfer of funds initiated by the payer (Holder) to the payee (State). Typically, there will need to be a reference number included with payment to link the payment and the report. Not administered through the State's website portal.
ACH Debit	ACH Debit	An ACH debit is an electronic transfer of funds initiated by the payee (State) with pre-authorization from the payer (Holder). Not administered through the State's website portal.
InterAgency	InterAgency	An interagency payment is a transfer of funds from one state agency to another State agency, not administered through the State's website portal.
ECheck	ECheck	E-Check is the electronic transfer of money from one bank account to another, either within a single financial institution or across multiple institutions, via computer-based systems, without the direct intervention of bank staff. Not Administered through the State's website portal.
Other	Other	A payment made by another means not provided. Not Administered through the State's website portal. ¹
None	None	No cash payment will be made to the state

4.3 Remitter Element

Sub-Element	Definition	M/K/E	Repeat	Type
CompanyName	Provide the name of the company sending in the report or group of reports on behalf of the holder.	M	1	Section 7.2 - CompanyNameType
USCompanyInfo	Remitter company information in US format.	E/M	1	USCompanyInfoType
ForeignCompanyInfo	Remitter company information in foreign format.	E/M	1	ForeignCompanyInfoType
TelephoneNumber	Remitter telephone number.	M	1	Section 7.1.5
EmailAddress	Remitter email address.	M	1	Section 7.2 - EMailAddressType

4.4 Holder Element

Sub-Element	Definition	M/K/E	Repeat	Type
CompanyName	Provide the name of the company that is reporting unclaimed property.	M	1	Section 7.2 - CompanyNameType
USCompanyInfo	Holder company information in US format.	E/M	1	USCompanyInfoType
ForeignCompanyInfo	Holder company information in foreign format.	E/M	1	ForeignCompanyInfoType
ParentCompany	Parent company of the holder company, if applicable.	K	0..1	Section 4.4.1

Contact	Contact(s) for the holder company.	M	1..*	Section 7.1.1
NAICSCode	Provide the 6-digit Industry Type code. See Website for NAICS Code options.	M	1	string(2..6) pattern: [1-9][1-9][0-9]{0,4}
TaxIDExtension	If any other departments or branches of your organization file unclaimed property reports using the same tax ID number listed on your report, contact the State to receive a FEIN Suffix Number. The suffix will be used to direct questions to the correct reporting department/branch. If your business files only one report, the default is "0001".	K	0..1	string(4) pattern: [0-9]{4}
DBAName	Provide DBAs, trade names, or other commonly used titles associated with the reporting Holder.	K	0..*	Section 7.2 - CompanyNameType
Incorporated	Provide information about the incorporation of the holder company.	K	0..1	Section 4.4.2
SalesAmount	Provide the dollar value of holder company sales from the most current year end totals.	K	0..1	Section 7.2 - CurrencyType
NumberOfEmployees	Provide the number of holder company employees from the most current year end totals.	K	0..1	integer(12)
AssetsAmount	Provide the dollar value of holder company assets from the most current year end totals.	K	0..1	Section 7.2 - CurrencyType
NumberOfInsurancePolicies	Provide the number of insurance policies written by the holder company from most current year end totals.	K	0..1	integer(12)

HolderID	Provide the state specific Holder ID if assigned by the jurisdiction.	K	0..1	string(1..15) pattern: [0-9]{1,15}
Report	The report for this holder.	E/M*	0..1	Section 4.4.3
NegativeReport	Negative report for this holder.	E/M*	0..1	Section 4.4.4

4.4.1 ParentCompany Element

Sub-Element	Definition	M/K/E	Repeat	Type
CompanyName	Provide the name of the holder parent company.	M	1	Section 7.2 - CompanyNameType
FEIN	Federal EIN of holder parent company.	E/M	1	FEINType
ForeignTaxID	Foreign tax ID of holder parent company.	E/M	1	ForeignTaxIDType

4.4.2 Incorporated Element

Sub-Element	Definition	M/K/E	Repeat	Type
State	Provide the two-character postal abbreviation of the state in which the company is incorporated. Savings and loan associations, banks, and credit unions should enter the state in which they are chartered.	M	1	Section 7.2 - StateCodeType
Date	Provide the date on which the company was incorporated or licensed to do business. Savings and loan associations, banks, and credit unions should enter the date their organization was chartered.	K	1	XML Schema date

4.4.3 Report Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Report type.	M	1	Section 4.4.3.1
AsOfDate	Provide the effective date for the report. The effective date could be the reporting period ending date per specific state law, the audit period ending date, a relevant date stated in a court order relating to a bankruptcy matter, etc. To determine the correct AsOfDate, consult the state's unclaimed property reporting instructions.	M	1	XML Schema date
Contact	Contact(s) for the report.	M	1..*	Section 7.1.1

Property	Property Elements included in this report.	M	1..*	Section 4.4.3.2
Adjustment	Adjustment Elements included in this report.	K	0..*	Section 4.4.3.3
NonProductionReport	Non-production report indicator.	K	0..1	Section 7.2 - IndicatorType

4.4.3.1 Report TypeCode Element Values

Code	Definition
Remittance/Annual	An annual remittance report.
Advertisement/No Remittance	A report submitted for advertisement only. No remittance will be sent. Pre-approval by the State is required.
Test	A test report for validation.
Audit	A report submitted as a result of an audit.
Supplemental/Correction	A report intended to supplement or correct an earlier report. Pre-approval by the state is required.
Voluntary Disclosure Agreement	A report submitted due to a voluntary disclosure agreement.
Final Remittance	Last report as company is dissolving.
Initial Remittance	First report company submits.
Reciprocal	A report from one unclaimed property program to another.
Illicit Property	A report containing properties the holder deems suspicious, fraudulent, or illegally procured. Check with states for guidance before selecting.

4.4.3.2 Property Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Utilize the five-character type code as set forth in the table which most closely describes the nature of the asset/account.	M	1	Section 4.4.3.2.1
AccountNumber	Supply the account number, policy number (insurance), owner number (mineral interests), box number (tangibles) or other unique identifier under which the holder identifies the owner. If there are multiple numbers used to identify the owner, include all such numbers. If additional space is needed, use the Miscellaneous Description field.	K	0..1	string(1..100)
PresumedAbandonedDate	Date on which the property was statutorily deemed unclaimed,	M	1	XML Schema date

	regardless of whether the property is timely reported, or the date on which the holder determined the property to be unclaimed (as contrasted with the date on which the property became reportable). This is the date at the end of the dormancy period. For example, the last activity date added to the dormancy period.			
DueDiligencePerformed	Provide the dates that statutorily required owner notification was performed.	K	0..1	Section 4.4.3.2.2
ReturnedByPostOffice	Provide information about mailings to the owner that were returned by the Post Office.	K	0..1	Section 4.4.3.2.3
PayableOrDistributableDate	Maturity Date, Distribution Date, Date Payable arises, Date Paid as applicable. Includes CD maturity, bonds, cash dividend, refunds, uncashed checks, Retirement mandatory distribution date for retirement or IRA accounts.	K	0..1	XML Schema date
LastActivityDate	The date on which the last (i.e., most recent) owner-generated activity or action occurred. Where no Last Activity Date was recorded, use the Payable or Distributable Date. If the property contains periodic payments use PeriodicPaymentDates instead.	E/M	1	XML Schema date
PeriodicPaymentDates	If you are reporting property with periodic payments such as dividends, oil and gas royalties, or commission checks, enter the dates between which the amounts were payable. Total all amounts due the owner during the applicable time period and list the payments as one amount on the property record. If the property does not contain periodic payment dates use Last Activity Date instead.	E/M	1	Section 4.4.3.2.4
NonRemitIndicator	Indicate whether the property will not be delivered/transferred when filing of the report. When indicating that property is reported but not remitted to the state, "True", be aware that most states require the reporting	M	1	Section 7.2 - IndicatorType

	organization to notify the state about these properties in separate correspondence prior to sending their report.			
Taxable	If the property was returned to the owner directly, would a 1099 have been issued?	M	1	Section 7.2 - IndicatorType
TaxForm1099IssuedDate	Provide the tax year for which the last 1099 was or will be issued with respect to the property; where issued for multiple years, provide the most recent year only.	K	0..1	XML Schema date
DescriptionOrAdditionalInformation	If the property is an aggregate of multiple properties, enter the number of properties which were combined for the aggregate. May additionally be utilized to provide property or owner information not otherwise requested, which will be helpful to the state in locating the owner or verifying the identity/entitlement of a claimant.	K	0..1	string(1..250)
AlternateLocation	Indicate whether the location of the reporting company is different from the main address.	K	0..1	Section 4.4.3.2.5
OFAC	Provide information about Office of Foreign Assets (OFAC) restrictions upon the property. Subject to states being able to receive OFAC property. Refer to state websites for details.	K	0..1	Section 4.4.3.2.6
HIPAAIndicator	Set to "True" only where the property is subject to the Health Insurance Portability and Accountability Act (HIPAA) and where non-publication of the reporting entity (for owner privacy) is requested, otherwise set to "False".	K	1	Section 7.2 - IndicatorType
Owner	Property owner.	M	1..*	Section 4.4.3.2.7
Beneficiary	Beneficiary of the property.	K	0..*	Section 4.4.3.2.8
Cash	Cash property information.	E/M	1	Section 4.4.3.2.9
Bond	Bond property information.	E/M	1	Section 4.4.3.2.10
Security	Security property information.	E/M	1	Section 4.4.3.2.11

Safekeeping	Safekeeping property information.	E/M	1	Section 4.4.3.2.12
MineralInterests	Mineral interest information.	E/M	1	Section 4.4.3.2.13
Cryptocurrency	Cryptocurrency information.	E/M	1	Section 4.4.3.2.14
Adjustment	Property-level adjustment(s).	K	0..*	Section 4.4.3.2.15
ContactHolderBeforePaying	The State should reach out to the holder for more information if a claimant comes forward. Possible reasons include the property is not claimable, suspect activity, etc.	K	1	Section 7.2 - IndicatorType

4.4.3.2.1 PropertyTypeCode Element Values

AC01	Checking Accounts
AC02	Savings Accounts
AC03	Mature CD or Save Cert
AC04	Christmas Club Funds
AC05	Money on deposit to secure fund
AC06	Security Deposit
AC07	Unidentified Deposit
AC08	Suspense Accounts
AC99	Aggregate Account Balances
CK01	Cashier's Checks
CK02	Certified Checks
CK03	Registered Checks
CK04	Treasurer's Checks
CK05	Drafts
CK06	Warrants
CK07	Money Orders
CK08	Traveler's Checks
CK09	Foreign Exchange Checks
CK10	Expense Checks
CK11	Pension Checks
CK12	Credit Checks or Memos
CK13	Vendor Checks
CK14	Checks Written off to Income
CK15	Other Outstanding Official Checks
CK16	CD Interest Checks
CK99	Aggregate Uncashed Checks
CS01	529 Plan Education Savings Account - Cash
CS02	529 Plan Education Savings Account - Mutual Fund

CS03	529 Plan Education Savings Account - Securities
CS04	Coverdell Education Savings Account - Cash
CS05	Coverdell Education Savings Account - Mutual Fund
CS06	Coverdell Education Savings Account - Securities
CS07	Education Savings Distribution
CT01	Escrow Funds
CT02	Condemnation Awards
CT03	Missing Heir's Funds
CT04	Suspense Accounts
CT05	Other Court Deposits
CT99	Aggregate Court Deposit
DC01	Required minimum distribution (RMD)
DC02	Voluntary separation
DC03	Forced separation
DC04	Rollover
DC05	Loan proceeds
DC06	Loan overpayment refund
DC07	Refund of excess contribution
DC08	Refund of fees
DC09	Trailing dividend/income
DC10	Hardship distribution
DC11	Early distribution
DC12	Terminating plan account proceeds
DC13	Lump sum distribution
HS01	Health Savings Account
HS02	Health Savings Account Investment
HS03	Reserved for Health Savings Account (code reserved for future use)
IN01	Individual Policy Benefits or Claim Payments
IN02	Group Policy Benefits or Claim Payments
IN03	Proceeds Due Beneficiaries
IN04	Proceeds from Matured Policies, Endowments or Annuities
IN05	Premium Refunds
IN06	Unidentified Remittances
IN07	Other Amounts Due Under Policy Terms
IN08	Agent Credit Balances
IN99	Aggregate Insurance Property
IR01	Traditional IRA - Cash
IR02	Traditional IRA - Mutual Funds
IR03	Traditional IRA - Securities
IR04	Reserved for Traditional IRA, SEP IRA, SARSEP IRA, and SIMPLE IRA (code reserved for future use)
IR05	Roth IRA - Cash
IR06	Roth IRA - Mutual Funds
IR07	Roth IRA - Securities

IR08	Reserved for Roth IRA (code reserved for future use)
IR09	IRA Other - Reserved 1 (code reserved for future use_)
IR10	IRA Other - Reserved 2 (code reserved for future use_)
MI01	Net Revenue Interest
MI02	Royalties
MI03	Overriding Royalties
MI04	Production Payments
MI05	Working Interest
MI06	Bonuses
MI07	Delay Rentals
MI08	Shut-in Royalties
MI09	Minimum Royalties
MI99	Aggregate Mineral Proceeds
MS01	Wages, Payroll, Salary
MS02	Commissions
MS03	Workers Compensation Benefits
MS04	Payments for Goods & Services
MS05	Customer Overpayments
MS06	Unidentified Remittances
MS07	Unrefunded Overcharges
MS08	Accounts Payable
MS09	Credit Balances -- Accounts Receivable
MS10	Discounts Due
MS11	Refunds Due
MS12	Unredeemed Gift Certificates
MS13	Unclaimed Loan Collateral
MS14	Pension & Profit Sharing
MS15	Dissolution or Liquidation
MS16	Miscellaneous Outstanding Checks
MS17	Miscellaneous Intangible Property
MS18	Suspense Liabilities
MS19	Sports Betting
MS99	Aggregate Misc Property
SC01	Dividends
SC02	Interest (Bond Coupons)
SC03	Principal Payments
SC04	Equity Payments
SC05	Profits
SC06	Funds Paid to Purchase Shares
SC07	Funds for Stocks & Bonds
SC08	Shares of Stock (Returned by Post Office)
SC09	Cash for Fraction Shares
SC10	Unexchanged Stock of Successor Corp

SC11	Other Cert of Ownership
SC12	Underlying Shares or other Outstanding Certificates
SC13	Funds for Liquidation/Redemption of Un-surrendered Stock or Bonds
SC14	Debentures
SC15	U S Govt Securities
SC16	Mutual Fund Shares
SC17	Warrant (Rights)
SC18	Mature Bond Principal
SC19	Dividend Reinvestment Plans
SC20	Credit Balances
SC99	Aggregate Security Related Cash
SD01	SD Box Contents
SD02	Other Safekeeping
SD03	Other Tangible Property
TR01	Paying Agent Account
TR02	Undelivered or Uncashed Dividends
TR03	Funds held in Fiduciary Capacity
TR04	Escrow Accounts
TR05	Trust Vouchers
TR99	Aggregate Trust Property
UT01	Utility Deposits
UT02	Membership Fees
UT03	Refunds or Rebates
UT04	Capital Credit Distributions
UT99	Aggregate Utilities
VC01	Virtual Currency in Native Currency Units
VC02	Virtual Currency Liquidated
VC03	Cash Account Balance
ZZZZ	Properties Not Identified Above

4.4.3.2.2 DueDiligencePerformed Element

Sub-Element	Definition	M/K/E	Repeat	Type
Date1	Provide the date that statutorily required owner notification was initially performed.	M	1	XML Schema date
Date2	Provide the date(s) that supplemental owner notification(s) was performed, if needed.	K	0..1	XML Schema date

4.4.3.2.3 ReturnedByPostOffice Element

Sub-Element	Definition	M/K/E	Repeat	Type
-------------	------------	-------	--------	------

MailDate	Provide the date when a mailing to the owner was initially returned by the post office as undeliverable (or bounce-back of email where electronic communications authorized).	M	1	XML Schema date
ReturnCount	Provide the number of times mailings returned by the post office and tracked as undeliverable prior to starting statutory due diligence mail efforts.	M	1	String(0..99)
DateAccountCodedRPO	Provide the date on which the account or owner was coded as a bad address or RPO/lost.	K	0..1	XML Schema date

4.4.3.2.4 PeriodicPaymentDates Element

Sub-Element	Definition	M/K/E	Repeat	Type
StartDate	Starting date of transaction.	M	1	XML Schema date
EndDate	Ending date of transaction.	M	1	XML Schema date

4.4.3.2.5 AlternateLocation Element

Sub-Element	Definition	M/K/E	Repeat	Type
Identifier	Indicate whether the location of the reporting company is a “branch”, “franchise office”, “service provider”, etc. if different than the main address.	M	1	string(1..20)
USAddress	US address of alternate location.	E/M	1	Section 7.1.6
ForeignAddress	Non-US address of alternate location.	E/M	1	Section 7.1.2

4.4.3.2.6 OFAC Element

Sub-Element	Definition	M/K/E	Repeat	Type
DateOfBlocking	Provide the date the property was blocked, if available.	K	0..1	XML Schema date
LegalAuthority	Cite the legal authority or authorities under which the property is blocked (e.g., 31 C.F.R. Part 515).	M	1	string(1..100)
SanctionsTarget	Identify the associated sanctions target whose property is blocked, or a reference to the relevant communication from OFAC instructing a party to block this property if that target is unknown. Often, this is different from the owner's name.	K	0..1	string(1..100)
OwnerType	Indicate owner type, from list corresponding to OFAC form TD- F 90-22.5.	K	0..1	Section 4.4.3.2.6.1

4.4.3.2.6.1 OFAC OwnerType Element Values

Code	Definition
Individual	An individual person.
U.S. Bank	A bank based in the U.S.
Non-U.S. Bank	A bank not based in the U.S.
U.S. Non-Bank	A US-based entity that is not a bank.
Non-U.S. Non-Bank	A non-bank entity not based in the U.S.

4.4.3.2.7 Owner Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Enter the owner type code.	M	1	Section 4.4.3.2.7.1
Identity	Information about the property owner’s identity.	K	0..1	Section 4.4.3.2.7.2
Contact	Property owner contact information.	M	1	Section 7.1.1
Description	Provide additional information about the owner if not included elsewhere.	K	0..1	string(1..255)
DateOfBirth	Enter the owner’s date of birth.	K	0..1	XML Schema date
RelationshipCode	Enter the relationship code for all owners.	M	1	Section 4.4.3.2.7.3
DriversLicense	Owner driver’s license information.	K	0..1	Section 4.4.3.2.7.2.2
CompleteAccountRegistrationName	Enter the complete name as listed on the account registration form.	K	0..1	string(1..255)
DateOfTrust	Enter the date of the trust.	K	0..1	XML Schema date
MinorDateOfMajority	Enter the date at which the minor owner reaches the age of majority.	K	0..1	XML Schema date
Deceased	Enter information about a deceased owner.	K	0..1	Section 4.4.3.2.7.4

4.4.3.2.7.1 Owner TypeCode Element Values

Code	Description	Definition
AGG	Aggregate Property	This code represents a group of properties that have been aggregated together, usually because of small dollar amounts. Use of this is generally discouraged. Please contact the state to confirm this is accepted. If used, please enter “Aggregate” as the LastName field.
UNK	Unknown	This code represents individual owner records in which the original owner’s name is unknown. If used, please enter “Unknown” as the LastName field.

IND	All Individual Owners Except Aggregate and Unknown	This code represents individual owner records that are not reported as Aggregate or Unknown. It is used when an owner's name is known and included in the report.
BUS	All Non-Individual Owners Except Aggregate and Unknown	This code represents non-individual owner records (e.g. business, govt. trust, estate, etc.) that are not reported as Aggregate or Unknown. It is used when an owner's name is known and included in the report.

4.4.3.2.7.2 Identity Element

Sub-Element	Definition	M/K/E	Repeat	Type
USTaxID	US ID Social Security Number (SSN), Federal Employer Identification Number (FEIN), or Individual Taxpayer ID Number (ITIN).	E/M	1	Section 4.4.3.2.7.2.1
AlienID	Enter owner identification number / alien ID.	E/M	1	string(1..20)

4.4.3.2.7.2.1 USTaxID Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	US Tax ID type code.	E/M	1	Section 4.4.3.2.7.2.1.1
Number	Tax ID number.	E/M	1	pattern: [0-9]{9}

4.4.3.2.7.2.1.1 USTaxID TypeCode Element Values

Code	Description	Definition
SSN	SSN	Social Security number.
FEIN	FEIN	Federal Employer Identification Number, used by the United States Internal Revenue Service.
ITIN	ITIN	Individual Taxpayer Identification Number (US Internal Revenue Service).

4.4.3.2.7.2.2 DriversLicense Element

Sub-Element	Definition	M/K/E	Repeat	Type
State	Valid US Two-digit state codes.	M	1	Section 7.2 - StateCodeType
Identifier	Driver's license ID.	M	1	string(1..20)

4.4.3.2.7.3 RelationshipCode Element Values

Code	Description	Definition
AD	Administrator	The person appointed by the court to handle the estate of someone who died without a will, with a will with no nominated executor, or the executor named in the will has died, has been removed from the case, or does not desire to serve.
AG	Agent For	A person who is authorized to act for another (the agent's principal) through employment, by contract or apparent authority.
AF	Attorney For	Unspecified joint relationship including 'AND'. Indicates that all named owners have a property right to the item and no owner may withdraw funds or take action without the signature of the other owner(s).
AN	And (Unspecified)	Unspecified joint relationship including 'AND'.

	Joint Relationship)	
CP	Community Property	Community property – Form of ownership required by states that have adopted community property laws for shares owned by husband and wife. JOHN BROWN & MARY BROWN COMMUNITY PROPERTY.
CN	Conservator	A person or entity appointed by a judge to protect and manage the financial affairs and/or the person's daily life due to physical or mental limitations or old age. Sometimes called a Curator.
CF	Custodian	A person who is responsible for the property on behalf of the owner.
DF	Defendant	The party sued in a civil lawsuit, or the party charged with a crime in a criminal prosecution.
ES	Estate	All the possessions of one who has died and are subject to probate (administration supervised by the court) and distribution to heirs and beneficiaries, all the possessions which a guardian manages for a ward (young person requiring protection and administration of affairs), or assets a conservator manages for a conservatee (a person whose physical or mental lack of competence requires administration of his/her affairs).
EX	Executor or Executrix	The person appointed to administer the estate of a person who has died leaving a will which nominates that person.
FB	For Benefit of	A person who is entitled to property that is held by another person (typically a custodian or trustee). FB is typically used in trustee, self-directed, inherited, education and transferred accounts.
GR	Guardian	A person who has been appointed by a judge to take care of a minor child (called a "ward") or incompetent adult personally and/or manage that person's affairs.
HE	Heir	Anyone who receives property of a deceased person either by will or under the laws of descent and distribution. Explanation: a devisee under a will is also an "heir", even though unrelated to the decedent.
IN	Insured	The person or entity who will be compensated for loss by an insurer under the terms of a contract called an insurance policy.
JT	Joint Tenants	Joint Tenancy – Form of ownership where two or more individuals hold shares as joint tenants with right of survivorship. When one tenant dies, the entire tenancy remains to the surviving tenants. Ex. JOHN BROWN & MARY BROWN JT TEN.
TC	Tenants in Common	Tenants in common – Form of ownership where each tenant owns an undivided interest. When one tenant dies, his interest passes to his estate. Ex. MELVIN SMITH & NANCY SMITH TEN COM.
JE	Tenants in Entireties	Tenants by the Entirety – Recognized by certain states as an appropriate form of registration for two individuals who are married to each other. When one tenant dies the property passes to the survivor. Ex. MELVIN JONES & GRACE JONES TEN ENT.
PC	Practice Commentary	Practice Commentary – California law allows community property to be held with rights of survivorship if the transfer document includes this designation and the grantees (i.e., new holders) accept this in writing on the transfer document by signing it or initialing it. Transfers of such accounts would follow the same guidelines as joint tenants with rights of survivorship. Processors may have their own procedures on how to establish such accounts. If permitted, the registration

		would be as follows: JOHN BROWN & MARY BROWN COMMUNITY PROPERTY WROS.
OR	(Or) Unspecified Joint Relationship	A joint relationship that indicates any owner may act independently of the other(s). Any owner may withdraw funds or take action without the signature of the other owner(s). Normally used in bank accounts.
OT	Other Relationship	A relationship other than specified in this list. Additional details should be submitted with the property.
PD	Payable On Death	Account is payable on Death to an alternate owner. Upon the original owner's death, the beneficiary must supply identification and a copy of the original owner's death certificate.
PA	Payee	The one named on a check or promissory note to receive payment.
PO	Power of Attorney	A written document signed by a person giving another person the power to act for the signer in designated circumstances and with respect to designated property. Explanation: There are both general powers of attorney which give the authorized party broad discretion and special powers of attorney that are limited in scope.
RE	Remitter	Used primarily on official checks. The Remitter is the person who purchased the official check. This relationship is separate from the Holder who turns the property over to the state.
SO	Sole Owner	Sole Owner is used when there is only a single owner for the property, and that person has all rights to the ownership of the property.
TE	Trustee	A person or entity who holds the assets (corpus) of a trustee for the benefit of the beneficiaries and manages the trust and its assets under the terms of the trust stated in the Declaration of Trust which created it.
UG	Uniform Gifts to Minor	Property that is gifted to a Minor under the Uniform Gifts to Minors Act – (UGMA). This act allows minors to own property such as securities. Under the UGMA, the ownership of the funds works like it does with any other trust except that the donor must appoint a custodian to look after the account. Regardless of whether the minor has reached the age of majority, they should be coded with the UG relation. The custodian on the account should be coded as CF.
UT	Uniform Transfer to Minor	Property that is gifted to a minor under the Uniform Transfer to Minors Act – (UTMA). This act allows a minor to receive gifts such as money, patents, royalties, real estate, and fine art, without the aid of a guardian or trustee. The gift giver or an appointed custodian manages the minor's account until the minor is of age (usually 18 or 21). Regardless of whether the minor has reached the age of majority, they should be coded with the UT relation. The custodian on the account should be coded as CF.
UN	Unknown	The owner's relationship to the property is not known.
UF	Usufruct	Usufruct is a real right in a property owned by another, normally for a limited time or until death. Simply stated, it is the right to use the property, to enjoy the fruits and income of the property, to rent the property out and to collect the rents, all to the exclusion of the underlying real or naked owner. The usufructuary has the full right to use the property but cannot dispose of the property nor can it be destroyed.
LA	Landlord	A person who owns real property and rents or leases it to another, called a "tenant."

4.4.3.2.7.4 Deceased Element

Sub-Element	Definition	M/K/E	Repeat	Type
DateOfDeath	The individual property owner’s date of death, if known.	K	0..1	XML Schema date
DateOfDeathSource	Enter the source of owner date of death information (DMF, beneficiary, claim, etc.).	K	0..1	string(1..50)
DateOfDeathIdentifiedDate	Enter the date at which the owner's date of death was provided to the holder.	K	0..1	XML Schema date

4.4.3.2.8 Beneficiary Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of beneficiary.	M	1	Section 4.4.3.2.8.1
Spouse	Set to “True” if the beneficiary is a spouse, otherwise set to “False”.	M	1	Section 7.2 - IndicatorType
Percentage	Enter the beneficiary's percentage of the funds reported. The total percentage of all beneficiaries must equal 100% of the funds. The total percentage of all beneficiaries must equal 100%.	M	1	Section 7.2 - PercentageType
Contact	Contact information for the beneficiary.	M	1	Section 7.1.1
DateOfBirth	Enter the beneficiary’s date of birth.	K	0..1	XML Schema date
SSN	Enter the beneficiary’s social security number.	K	0..1	Section 7.2 - SSNType
IfPaid	Indicate if the beneficiary has been paid.	M	1	Section 7.2 - IndicatorType

4.4.3.2.8.1 Beneficiary TypeCode Element Values

Code	Description	Definition
Primary	Primary	A Primary beneficiary is an individual or organization who is first in line to receive benefits in a will, trust, retirement account, life insurance policy or annuity upon the account or trust holder's death. Multiple Primary beneficiaries may be named.
Contingent	Contingent	A Contingent beneficiary is an individual or organization who is second in line to receive benefits in a will, trust, retirement account, life insurance policy or annuity upon the account or trust holder's death as well as the deaths of all Primary beneficiaries. Multiple Contingent beneficiaries may be named.
Representative	Representative	Texas only value for owners of financial accounts, mutual funds or contents of a safe deposit box to designate representatives for their accounts, for the purpose of receiving the notice required in Section 74.1011

4.4.3.2.9 Cash Element

Sub-Element	Definition	M/K/E	Repeat	Type
ReportedAmount	Enter the amount due the owner before any allowed adjustments, such as taxes, were subtracted.	M	1	Section 7.2 - CurrencyType
RemittedAmount	Amount due to owner (Reported Amount +/- Adjustments).	M	1	Section 7.2 - CurrencyType
Interest	Information about accrued interest included in the reported/remitted amount.	K	0..1	Section 4.4.3.2.9.1
CheckNumber	Provide the check number if reporting cashier's or traveler's checks, money orders, or any other remittance instrument.	K	0..1	string(1..100)
ItemIssueState	State in which the reported amount was issued.	K	0..1	Section 7.2 - StateCodeType

4.4.3.2.9.1 Interest Element

Sub-Element	Definition	M/K/E	Repeat	Type
Rate	Interest rate - shown as a percentage.	M	1	Section 7.2 - PercentageType
PaidThroughDate	Last date that interest was applied to the account.	M	1	XML Schema date

4.4.3.2.10 Bond Element

Footnote: Bonds are reported separately from Securities because some bonds are reported as cash.

Sub-Element	Definition	M/K/E	Repeat	Type
Interest	Interest rate - shown as a percentage.	M	1	Section 7.2 - PercentageType
Name	Name of bond.	M	1	string(1..100)
Number	Bond number.	K	0..1	string(1..25)
CouponNumber	Coupon number.	K	0..1	string(1..25)
PayingAgent	The entity responsible for transmitting payments of interest and principal from an issuer of municipal securities to the bondholders.	K	0..1	string(1..100)

4.4.3.2.11 Security Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of security.	M	1	Section 4.4.3.2.11.1
Name	Enter the name of the security issue which is due the owner. DO NOT enter more than one issue. When reporting stock where the owner has different issues and these issues will be due the owner, there should be a separate record for	M	1	Section 5.2

	each issue that will be due that owner. For example: Paul Smith had an account with XYZ broker. In the account, Mr. Smith owned 12 shares of ABC Office Supply Common, 4 shares of ABC Office Supply \$2.75 Preferred, and 20 shares of Afterschool Snack Company Common. There should be three property records with separate Security Elements for for Mr. Smith.			
Symbol	Enter the Ticker Symbol under which the security is traded.	K	0..1	Section 5.2
CUSIP	Enter the CUSIP number of the specific security issue. If no CUSIP number is available, contact the jurisdiction's custodian to obtain a placeholder CUSIP number.	E/M	1	Section 5.2
AlternativeID	Enter a non-CUSIP alternative security identifier if no CUSIP exists.	E/M	1	Section 5.1.1
TransferAgent	Provide the name of the entity that delivers securities to the reporting jurisdiction - including but not limited to Transfer Agents, Brokers, Mutual Funds, Privately Held companies, etc.	M	1	string(1..35)
Reported Shares	Enter the number of shares including fractional shares. Decimal required if reporting fractional shares.	M	1	Section 5.2
Remitted Shares	Final number of shares remitted to state. Decimal required if remitting fractional shares.	M	1	Section 5.2
Original	Information about the security as originally purchased. This is for when the stock went through a merger and the property owner owned the previous stock but is reported under the current name.	K	0..1	Section 4.4.3.2.11.2
Dividends	Method used for handling dividends.	M	1	Section 4.4.3.2.11.3
Delivery Method	Method of delivery for the security.	K	0..1	Section 4.4.3.2.11.4
StateAccount Number	Enter the State account number into which the securities are delivered.	K	0..1	string(1..70)
DTCDelivery Reference Number	Enter the DTC (Depository Trust Company)'s Delivery confirmation number for stocks delivered through DTC as well as State Account number if DRS (Direct Registered Shares), State account numbers for Mutual Funds, Certificate number and registration for physical certificates.	K	0..1	string(1..20)
Market	The market through which the security is exchanged.	M	1	Section 4.4.3.2.11.5
Status	Status of the security.	M	1	Section 4.4.3.2.11.6
Adjustment	Security adjustments, if applicable.	K	0..*	Section 4.4.3.2.11.7
CostBasis	Cost basis of security.	K	0..1	Section 4.4.3.2.11.8

4.4.3.2.11.1 Security TypeCode Element Values

Code	Description	Definition
Common Stock	Common Stock	Capital stock that is secondary to preferred stock in the distribution of dividends and often of assets.
Preferred Stock	Preferred Stock	Capital stock having priority over a corporation's common stock in the distribution of dividends and often of assets.
Open-End Mutual Fund	Open-End Mutual Fund	A mutual fund in which the number of shares may be increased or decreased depending on the amount of money invested in the company.
Closed-End Mutual Fund	Closed-End Mutual Fund	A mutual fund that issues a fixed number of shares at its establishment, and, afterwards, neither increases nor decreases the number of shares.
Bonds	Bonds	Bonds are debt and are issued for a period of more than one year.
Options /Warrants	Options/Warrants	A contract in which the writer (seller) promises that the contract buyer has the right, but not the obligation, to buy or sell a certain security at a certain price (the strike price) on or before a certain expiration date, or exercise date.
Rights	Rights	A financial instrument that grants existing shareholders the option to purchase additional shares of a company's stock at a predetermined price.
Privately Held	Privately Held	A financial asset representing ownership or investment in a company that is not publicly traded.
CVR	Central Depository Receipts	A financial instrument that represents ownership in foreign stocks, allowing investors to trade and invest in foreign companies on a local stock exchange without directly owning foreign shares.
ADR	American Depository Receipts	A financial instrument that enables U.S. investors to indirectly own and trade shares of foreign companies on U.S. stock exchanges.
Foreign	Foreign	Shares of ownership in a company that is incorporated and traded on a stock exchange in a country other than the investor's home country.

4.4.3.2.11.2 Original Security Element

Sub-Element	Definition	M/K/E	Repeat	Type
Name	The name of the security.	M	1	Section 5.2
CUSIP	Security identifier.	E/M	1	Section 5.2
AlternativeID	Enter a non-CUSIP alternative security identifier if no CUSIP exists.	E/M	1	Section 5.1.1
Shares	Number of shares held.	M	1	Section 5.2

4.4.3.2.11.3 Dividends Element

Sub-Element	Definition	M/K/E	Repeat	Type
Handling	How the dividends are handled.	M	1	Section 4.4.3.2.11.3.1
PaidThroughDate	Date through which dividend and other payments were reported for this security.	K	0..1	XML Schema date

4.4.3.2.11.3.1 Handling Element Values

Code	Description	Definition
Cash	Cash	Dividends turned over in the form of cash to the owner.
Reinvested	Reinvested	Dividends were reinvested into the fund for the owner.
None	None	No dividends subject to escheatment.

4.4.3.2.11.4 DeliveryMethod Element Values

Code	Description	Definition
DTC	DTC	The transfer of securities between broker/custodian accounts via Depository Trust Company (DTC).
Physical	Physical	The registration of a physical certificate in the name of the new shareholder. Physical shares are delivered via USPS or courier services.
Book Entry	Book Entry	Book entry is a system of tracking ownership of securities where a physical certificate is not given to investors. Shares are held in an account with the transfer agent in the participant's name and the new shareholder is presented with a statement of that account's holdings.
Foreign	Foreign	Shares are moved between accounts via a foreign settlement provider (TMX, Crest, Euroclear, Clearstream, etc.) relative to the market in which the security trades. Both participants must have active accounts with the correct settlement provider to transfer shares.
DWAC	DWAC	Deposit/Withdrawal at Custodian (DWAC) is a function in which shares are electronically posted to the Fast Automated Securities Transfer (FAST) computerized subsystem of DTC, with each participant's account at DTC debited or credited in accordance with the transaction.
Fund/SERV	Fund/SERV	Fund/SERV is the U.S. industry standard for processing and settling mutual funds, bank collective funds and other pooled investment product transactions between fund companies and distributors. Supplemented by the ACATS (Automated Customer Account Transfer Service) system, mutual fund account registrations can be updated when an account is transferred between brokerage firms or banks.
DRS	DRS	The Direct Registration System, or DRS, is a system that enables an investor to electronically move his or her security position held in direct registration book-entry form back and forth between the issuer (holder) and the investor's broker-dealer.
Held at Holder	Held at Holder	Held by Holder in another fashion not listed above.

4.4.3.2.11.5 Market Element Values

Code	Definition
NYSE	New York Stock Exchange
AMEX	American Stock Exchange
NASDAQ	National Association of Securities Dealers Automated Quotations Stock Market
Private	Privately held securities
Foreign	Foreign held or Foreign Exchange
Other	Not defined above

4.4.3.2.11.6 Status Element Values

Code	Description	Definition
Transferable	Transferable	Securities able to transfer to the State without any issues or restrictions.
Non Transferable	Non-Transferable	Securities with an unknown transfer agent and unable to transfer for other reasons not listed in this table.
Chilled	Chilled	A chill is when the Depository Trust Company (DTC) places one or more restrictions on transactions regarding a given security.
Delisted	Delisted	The removal of a stock from trading on an exchange. Delisting occurs when a publicly traded company violates the exchange's rules, or, more commonly, when the company ceases to meet listing requirements.
Restricted	Restricted	Placed on a list that dictates that the trader may not maintain positions, solicit business, or provide indications in a stock, but may serve as broker in agency trades after properly cleared.
Worthless	Worthless	A security that has no substantial value. Definition may vary by state.
NoTicker	No ticker	A security with no ticker or trading symbol.
Below Min Lot Size	Below Min Lot Size	Bonds require a minimum amount for the delivery to take place. Below the minimum lot size for delivery to take place.
Prohibited /Sanctioned Countries	Prohibited/Sanctioned Countries	Securities held in countries that have asset or trade restrictions for the accomplishment of foreign policy or national security.

4.4.3.2.11.7 Security Adjustment Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of the security adjustment.	M	1	Section 4.4.3.2.11.7.1
Amount	Shares adjustment amount.	M	1	Section 5.2

4.4.3.2.11.7.1 Security Adjustment TypeCode Element Values

Code	Description	Definition
Tax	Shares sold for tax consequences.	Enter the number of shares liquidated due to IRS tax requirements.

4.4.3.2.11.8 CostBasis Element

Sub-Element	Definition	M/K/E	Repeat	Type
Name	The name of the security.	M	1	Section 5.2
Symbol	Enter the Ticker Symbol under which the security is traded.	M	1	Section 5.2
CUSIP	Enter the CUSIP number of the specific security issue. If no CUSIP number is available, contact the jurisdiction's custodian to obtain a placeholder CUSIP number.	E/M	1	Section 5.2
AlternativeID	Enter a non-CUSIP alternative security identifier if no CUSIP exists.	E/M	1	Section 5.1.1
Amount	The amount paid to purchase the security.	M	1	Section 7.2 - CurrencyType
Shares	Number of shares purchased.	M	1	Section 5.2
PurchaseDate	Date original purchase occurred.	M	1	XML Schema date

4.4.3.2.12 Safekeeping Element

Sub-Element	Definition	M/K/E	Repeat	Type
BoxCaseNumber	Safe deposit box number or container number.	M	1	string(1..25)
BoxOpenDate	Date safe deposit box was drilled open.	M	1	XML Schema date
LeaseExpiredDate	End date on the paid rental agreement.	M	1	XML Schema date
Fee	Fee associated with the handling of the safekeeping item.	K	0..*	Section 4.4.3.2.12.1
Inventory	Information about the safe deposit box contents.	M	1..*	Section 4.4.3.2.12.2

4.4.3.2.12.1 Fee Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of safekeeping fee.	M	1	Section 4.4.3.2.12.1.1
Amount	Amount of the fee.	M	1	Section 7.2 - CurrencyType

4.4.3.2.12.1.1 Fee TypeCode Element Values

Code	Description	Definition
Drilling Fee	Drilling Fee	A fee related to the drilling of a safekeeping instrument.
Unpaid Rent	Unpaid Rent	A fee related to the unpaid rent of a safekeeping instrument.

4.4.3.2.12.2 Inventory Element

Sub-Element	Definition	M/K/E	Repeat	Type
ItemCode	Type of safekeeping inventory item.	M	1	Section 4.4.3.2.12.2.1
Description	Description of the safekeeping inventory item.	M	1	string(1..2000)
SavingsBond	Savings bond information, if applicable.	K	0..1	Section 4.4.3.2.12.2.2
EstimatedValue	Estimated value of the contents.	K	0..1	Section 7.2 - CurrencyType

4.4.3.2.12.2.1 ItemCode Element Values

Code	Description	Definition
Coins	Coins or other Bullion	A coin is a small, flat, (usually, depending on the country or value) round piece of metal or plastic used primarily as a medium of exchange or legal tender.
Paper Currency	Paper Currency	Paper currency issued by a government or central bank and consisting of printed paper that can circulate as a substitute for specie.
Empty Box	Empty Box	The box was empty when opened.
Jewelry	Jewelry	Objects that are worn for personal adornment, such as bracelets, rings, necklaces, etc., considered collectively.
Knives	Knives	An instrument composed of a short blade fixed into a handle, used for cutting or as a weapon.
Legal or Misc. Papers	Legal or misc. Papers	Documents of a legal nature such as wills, birth certificates, insurance policies, etc.
Military Medals	Military Medals	Distinctions given as a mark of honor for military heroism, meritorious or outstanding service or achievement.
Miscellaneous Items	Miscellaneous Items	Any other items not listed.
Savings Bonds	Savings Bonds	In the United States, a non-tradable bond issued by the federal government for savings purposes. A savings bond allows citizens to receive a guaranteed return for their investments and helps raise revenue for the government.
Stock Certificates	Stock Certificates	A document representing the number of shares of a corporation owned by a shareholder.
Watches	Watches	A portable timepiece, small enough to be worn or carried by a person.
Weapons	Weapons	An instrument of attack or defense in combat, as a gun, missile, or sword.

Foreign Currency	Foreign Currency	Non-US currency.
------------------	------------------	------------------

4.4.3.2.12.3 SavingsBond Element

Sub-Element	Definition	M/K/E	Repeat	Type
BondNumber	Savings bond registration number.	M	1	string(1..250)
FaceValue	Face value for the savings bond.	M	1	Section 7.2 - CurrencyType
Owner	Information about the owner of the savings bond.	M	1	Section 4.4.3.2.12.3.1

4.4.3.2.12.3.1 SavingsBond Owner Element

Sub-Element	Definition	M/K/E	Repeat	Type
Name	Listed Payee for bond.	M	1	string(1..250)
USAddress	US address for bond owner.	E/M	1	Section 7.1.6
ForeignAddress	Non-US address for bond owner.	E/M	1	Section 7.1.2
SocialSecurityNumber	Social security number of the bond owner.	K	0..1	Section 7.2 - SSNType

4.4.3.2.13 MineralInterests Element

Sub-Element	Definition	M/K/E	Repeat	Type
StateCode	State in which the mineral interest is located.	M	1	Section 7.2 - StateCodeType
CountyName	Geographical region of a state used for administrative or other purposes.	M	1	string(1..20)
County Code	County FIPS Codes are unique 5-digit codes that represent specific US counties. The first two digits represent the county's state, and the last three digits represent the county.	K	0..1	String(0..5)
Section	An area nominally one square mile, containing 640 acres, with 36 sections making up one survey township on a rectangular grid.	K	0..1	string(1..2)
Township	The Township is a division of a county. Township grid lines run North and South.	K	0..1	string(1..3)
Range	The range number identifies how many cells the property is to the East or West of a starting point. Range grid lines run East and West.	K	0..1	string(1..3)
SupplementalDescription	Description of the quarter (NE Quarter, South Quarter), probate number, deed information (county book and page	K	0..1	string(1..20)

	reference, fractional share, or co-ownership (1/8th), etc.			
PoolingOrder	A specific number assigned to a Pooling action. A Pooling is the consolidation and combining of leased land with adjoining leased tracts. The area is called a pool or a unit. Pooling has the benefit to the production company of uniting all landowners' leases into a common pool under one drilling production company and utilizing one common underground geological reservoir. The pooling action may be voluntary or forced (unlocated owners).	K	0..1	string(1..6)
PoolingDate	Date signed by the Corporation Commission or other governing body.	K	0..1	XML Schema date
WellName	Wells are traditionally named for the mineral owner(s) in the drilling unit. [e.g., Smith or Smith & Jones]. If the State owns minerals in the drilling unit, the well name shall be "State" followed by the name of the township where the well is located [e.g., State Chester]. If the Federal government owns minerals, the well name shall include "USA" followed by the name of the township where the well is located [e.g., USA Hillman].	K	0..1	string(1..30)
WellNumber	An American Petroleum Institute (API) well number or API number is a "unique, permanent, numeric identifier" assigned to each well drilled for oil and gas in the United States.	K	0..1	string(1..20)
LeaseNumber	The lease number serves as identification keys for wellbores (actual hole that forms a well) and completions.	K	0..1	string(1..20)
OwnershipPercentage	The owner's proportional ownership in the minerals (reflected as a decimal interest).	K	0..1	Section 7.2 - PercentageType
PropertyDescription	A mineral interest property description legally defines ownership of subsurface resources (oil, gas, coal, minerals) beneath a specific tract of land, which can be held independently of surface rights.	K	0..1	String(0..250)
LegalDescription	Legal Land Description: Defines the exact geographic location using surveys, such as Section, Township, Range, and County/State.	K	0..1	String(0..500)

4.4.3.2.14 Cryptocurrency Element

Sub-Element	Definition	M/K/E	Repeat	Type
Name	Enter the name of the virtual currency.	M	1	string(1..16)
Symbol	Enter the symbol of the virtual currency.	M	1	string(1..7)
AccountNumber	Supply the account number or other unique identifier under which the holder identifies the owner - if there are multiple numbers used to identify the owner, include all such numbers.	K	0..1	string(1..70)
AlternativeID	Supply an alternative cryptocurrency identifier if applicable.	K	0..1	Section 4.4.3.2.14.1
ReportedUnits	Enter the quantity of units of virtual currency due the owner.	M	1	Section 6.1
RemittedUnits	Number of units of virtual currency due to owner (ReportedAmount +/- adjustments).	M	1	Section 6.1
ProceedsReported	Enter the dollar amount due the owner if liquidated to US Dollars.	M	1	Section 7.2 - CurrencyType
ProceedsRemitted	Enter the dollar amount due the owner (ReportedAmount +/- adjustments) if liquidated to US Dollars.	M	1	Section 7.2 - CurrencyType
DateSold	Supply the date the virtual currency was sold.	K	0..1	XML Schema date
UnitsSold	Supply how many units of the virtual currency were sold.	K	0..1	Section 6.1
DateAcquired	Supply the date the virtual currency was acquired.	K	0..1	XML Schema date
DeliveryMethod	Supply the method of delivery the virtual currency units will be delivered to the jurisdiction.	K	0..1	string(1..50)
HashID	Supply the transaction HASH ID for the virtual currency delivered to the jurisdiction.	K	0..1	string(1..64)
StateAccountNumber	Confirm into which account number units were delivered.	K	0..1	string(1..70)
StateAddressNumber	Confirm the virtual currency address number the units were delivered.	M	1..*	string(1..70)
ReportNotRemit	Set to "True" if the cryptocurrency is reported only, not remitted. Otherwise set to "False"	M	1	Section 7.2 - IndicatorType
Exchange	Provide the name of the exchange if applicable.	K	0..1	string(1..70)
Blockchain	Enter the name of the blockchain associated with the virtual currency	K	0..1	String(1..30)

Contract Address	Enter the contract address of the token	K	0..1	String (1..100)
------------------	---	---	------	-----------------

4.4.3.2.14.1 AlternativeID Element

Sub-Element	Definition	M/K/E	Repeat	Type
Name	Supply the name of the identifier.	M	1	string(1..50)
Number	Supply the number of the identifier.	M	1	string(1..50)

4.4.3.2.15 Property Adjustment Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Provide the type of adjustment made.	M	1	Section 4.4.3.2.15.1
Amount	Dollar amount of the adjustment resulting in a net amount reported.	M	1	Section 7.2 - CurrencyType
Cost Basis	The dollar amount paid to purchase the virtual currency being reported plus purchase fees.	K	1	Section 7.2 - CurrencyType

4.4.3.2.15.1 Property Adjustment TypeCode Element Values

Code	Description	Definition
DC	Due Diligence Cost	Cost allowed by statute to send legally required communication to apparent owners concerning impending transfer of their property to state or territory.
SC	Service Charge	Amount allowed by statute and valid, enforceable written contract a holder can charge an apparent owner for something specific, such as a failure to claim property within a specific time period, if regularly imposed and not regularly reversed or otherwise canceled.
FW	Federal Tax Withheld	Amount withheld from property reported that is owed to a federal governmental entity.
SW	State Tax Withheld	Amount withheld from property reported that is owed to a state governmental entity.
PW	IRS Penalty withheld	Amount required by the IRS to be withheld from property reported due to property owners not meeting their tax obligation.
BW	IRS Back-Up Withholding	Amount required by IRS to be withheld to ensure the IRS receives the taxes due on property reported as unclaimed.
IE	Interest Earned	Amount earned by the owner on its interest- bearing account or other investment.
DE	Property is no longer reportable	Property is no longer subject to unclaimed property reporting requirements.
PR	Statutorily Allowed Partial Reporting	Amount deducted is no longer subject to unclaimed property reporting requirements

4.4.3.3 Report Adjustment Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Provide Report Adjustment code for report totals.	M	1	Section 4.4.3.3.1
Amount	Provide total amount of adjustment made for report total.	M	1	Section 7.2 - CurrencyType

4.4.3.3.1 Report Adjustment TypeCode Element Values

Code	Description	Definition
Audit Fees	Audit Fees	An adjustment to the remitted amount due to audit fees.
Estimate or Prepayment	Estimate or Prepayment	An adjustment to the remitted amount due to an estimate or prepayment.
Interest	Interest	An adjustment to the remitted amount due to an interest payment.
Penalty	Penalty	An adjustment to the remitted amount due to a penalty payment.

4.4.4 Negative Report Element

Sub-Element	Definition	M/K/E	Repeat	Type
Contact	Contacts for the report.	M	1..*	Section 7.1.1
AsOfDate	Provide the effective date for the report. The effective date could be the reporting period ending date per specific state law, the audit period ending date, a relevant date stated in a Court order relating to a bankruptcy matter, etc. For questions concerning the correct AsOfDate, contact the state.	M	1	XML Schema date

4.5 Remittance Attributes

Attribute	Definition
Version	Identify which version of the XSD is being used for validation. Found in the opening line of the XSD.

5 Security Element Types

The Security section of the schema defines reusable element types which are used only within the scope of the Security element.

5.1 Security Structural Element Types

This section separates the structural types (which group elements) from the data types (which format single values).

5.1.1 AlternativeSecurityIDTypes

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of alternative security ID.	M	1	Section 5.1.2
Identifier	Security identifier.	M	1	string(1..20)

5.1.2 AlternativeSecurityIDTypes TypeCode Element Values

Code	Definition
SEDOL	The Stock Exchange Daily Official List (SEDOL) is a list of security identifiers used in the United Kingdom and Ireland for clearing purposes.
ISIN	International Securities Identification Number (ISIN) is a number that is assigned to almost every stock and registered bond that trades throughout the world. An ISIN facilitates trade and settlement by making each security unique to every other security of the same class. Most countries have independent agencies that assign ISIN numbers to securities traded in their countries. ISIN numbers are recorded in each trade.
VALOREN	A Valoren number is an identification number assigned to financial instruments in Switzerland. These numbers are similar to the CUSIP numbers that are used in Canada and the U.S. A typical Valoren number is between six to nine digits in length.
Other	A Securities Identification Number not from SEDOL, ISIN, or VALOREN.

5.2 Security Data Element Type Elements

Data Element Type	Definition	Type	Min/Max/Pattern/Enum
SecurityNameType	Security name.	string(1..100)	-
SecuritySymbolType	Security ticker symbol.	string(1..10)	-
SecurityCUSIPType	A CUSIP number is a unique identifier that stands for the Committee on Uniform Securities Identification Procedures. A CUSIP number is used to identify U.S. and Canadian registered stocks, U.S. government and municipal bonds, exchange traded funds, and mutual funds.	string(9)	pattern: [A-Za-z0-9]{8}[0-9]{1}
SecurityNumberOfShares Type	Number of shares of a security.	decimal(14, 6)	-

6 Cryptocurrency Element Types

The Cryptocurrency section of the schema defines reusable element types which are used only within the scope of the Cryptocurrency element.

6.1 Cryptocurrency Data Element Types

Data Element Type	Definition	Type	Min/Max/Pattern/Enum
CryptocurrencyUnits	Cryptocurrency units.	Decimal (33,18)	-

7 Common Element Types

Common element types are those that are referenced throughout a NAUPA-III XML document, as opposed to those that are used only in particular areas. These come in three varieties: 1) structural elements such as an address, which contain sub-elements, 2) data elements which contain no sub- elements but only data, such as a Social Security Number, 3) code elements, which are data elements with a fixed set of enumerated string values.

7.1 Common Structural Element Types

Common structural element types define XML sub-tree patterns that may be reused throughout an XML document. A typical example is a postal address which may appear in many different places throughout the document, but should always have the same identical structure: street address followed by city, state, postal code, etc.

7.1.1 ContactType

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Contact type.	M	1	Section 7.1.1.1
CompanyName	Company name.	E/M	1	Section 7.2 - CompanyNameType
PersonName	Person name.	E/M	1	Section 7.1.4
PrimaryAddress	US or Non-US primary address.	K	1	Section 7.1.1.2
AlternateAddress	Alternate address.	K	0..*	Section 7.1.1.3
TelephoneNumber	Telephone number.	K	0..1	Section 7.1.5
AlternateTelephoneNumber	Alternate telephone number.	K	0..*	Section 7.1.5
EMailAddress	E-mail address.	K	0..1	Section 7.2 - EMailAddressType
AlternateEMailAddress	Alternate e-mail address.	K	0..*	Section 7.2 - EMailAddressType

7.1.1.1 ContactType TypeCode Element Values

Code	Description	Definition
Claims	Claims	A person designated by a holder to answer questions regarding claims.
Report	Report	A person designated by a holder to respond to questions regarding the report.

CFO	Chief Financial Officer	A person designated by a holder to be the Chief Financial Officer.
SDB	Safe Deposit Box	A person designated by a holder to administer the reporting of the contents of a safe deposit box.
Securities	Securities	A person designated by a holder to administer the reporting of securities.
Software	Software	A person designated by the software vendor to prepare the report.
Owner	Owner	A person reported as the owner of the property.
Third Party	Third Party	A person designated to report on behalf of the Holder.
Beneficiary	Beneficiary	The individual or organization designated to receive assets or income after the owner's death.

7.1.1.2 PrimaryAddress Element

Sub-Element	Definition	M/K/E	Repeat	Type
USAddress	Address inside the US.	E/M	1	Section 7.1.6
ForeignAddress	Address outside the US.	E/M	1	Section 7.1.2

7.1.1.3 AlternateAddress Element

Sub-Element	Definition	M/K/E	Repeat	Type
TypeCode	Type of alternate address.	M	1	Section 7.1.1.3.1
USAddress	Address inside the US.	E/M	1	Section 7.1.6
ForeignAddress	Address outside the US.	E/M	1	Section 7.1.2

7.1.1.3.1 AlternateAddress TypeCode Element Values

Code	Definition
Seasonal	Address that is only occupied for a specific time period throughout the year.
Obsolete/Prior	Address is no longer in general use.
Forwarding/ non-permanent	Address is secondary and used only temporarily.
Billing	Address is associated with a bank account and other payment functions.
Service	Address where services were provided.
Owned but not occupied	Address is unoccupied.

7.1.2 ForeignAddressType

Sub-Element	Definition	M/K/E	Repeat	Type
Address1	First line of address.	K	0..1	string(1..255)
Address2	Second line of address.	K	0..1	string(1..255)
Address3	Third line of address.	K	0..1	string(1..100)
City	City name.	K	0..1	string(1..100)

ProvinceOrState	Name of province or state within the foreign country.	K	0..1	string(1..100)
ForeignPostalCode	Foreign postal code.	K	0..1	string(1..10)
CountryCode	Three-letter ISO Standard 3166 Country code.	K	0..1	Section 7.3.1

7.1.3 ForeignCompanyInfoTypeCode

Sub-Element	Definition	M/K/E	Repeat	Type
ForeignTaxID	Foreign tax ID.	M	1	Section 7.2 - ForeignTaxIDType
ForeignAddress	Address outside the US.	M	1	Section 7.1.2

7.1.4 PersonNameTypeCode

Sub-Element	Definition	M/K/E	Repeat	Type
Prefix	Prefix such as Mr., Mrs., Ms., Dr.	K	0..1	string(1..10)
FirstName	First name.	K	1	string(1..100)
MiddleName	Middle name.	K	0..1	string(1..100)
LastName	Last name.	M	1	string(1..100)
Suffix	Suffix such as Sr., Jr., III, IV, etc.	K	0..1	string(1..10)

7.1.5 TelephoneNumberTypeCode

Sub-Element	Definition	M/K/E	Repeat	Type
Type	Input the type of telephone number (e.g. mobile, home, work).	K	0..1	Section 7.1.5.1
USTelephoneNumber	Telephone number inside the US.	E/M	1	Section 7.2 - USTelephoneNumberType
ForeignTelephoneNumber	Telephone number outside the US.	E/M	1	Section 7.2 - ForeignTelephoneNumberType
Extension	Telephone number extension.	K	0..1	Section 7.2 - TelephoneExtensionType

7.1.5.1 TelephoneNumberType TypeCode – Mobile, Home, Office, Other

7.1.6 USAddressTypeCode

Sub-Element	Definition	M/K/E	Repeat	Type
Address1	First line of address.	K	1	string(1..255)
Address2	Second line of address.	K	0..1	string(1..255)
Address3	Third line of address.	K	0..1	string(1..100)
City	City.	K	1	string(1..100)
StateCode	Two-letter state abbreviation code.	K	1	Section 7.2 - StateCodeType
ZIPCode	ZIP code, 5 or 10 digits	K	1	string(5..11): pattern [0-9]{5}(-[0-9]{4})?

7.1.7 USCompanyInfoTypeCode

Sub-Element	Definition	M/K/E	Repeat	Type
FEIN	Federal Employer ID Number.	M	1	Section 7.2 - FEINType
USAddress	Address inside the US.	M	1	Section 7.1.6

7.2 Common Data Element Types

Common data element types provide constraints upon XML data values such as a Social Security Number. Reusing the SSNType defined below throughout the XML schema assures that all SSNs appear in exactly the same 9-digit format, as opposed to having some SSNs that contain embedded dashes and some that do not.

Data Element Type	Definition	Type	Min/Max/Pattern/Enum
CompanyNameType	Company name.	string(1..200)	-
CurrencyType	An amount of currency measured in US dollars.	decimal(12,2)	-
EMailAddressType	E-mail address.	string(3..255)	pattern: [^@]+@[^\.\+\.\+\"/
FEINType	Federal Employer ID Number.	string(9)	pattern: [0-9]{9}
ForeignTaxIDType	Foreign tax ID.	string(1..15)	-
ForeignTelephoneNumberType	Non-US telephone number.	String(1..15)	pattern: [0-9]{7,15}
IndicatorType	Boolean indicator. If applicable, the indicator value is “True”, otherwise the indicator value is “False”.	string(4...5)	enumeration: True
PercentageType	A percentage value from 0.0000000001 to 100.0	decimal(13,10)	min=0, max=100
SSNType	Social Security Number.	string(9)	pattern: [0-9]{9}
USTelephoneNumberType	US telephone number.	string(10)	pattern: [0-9]{10}
TelephoneExtensionType	Four-digit telephone extension.	string(4)	-
StateCodeType	Valid US Two-digit state codes	string(2)	7.3.2

7.3 Common Code Types

Code types are XML string values where the complete set of legal values is listed using XML schema enumeration elements.

7.3.1 CountryCodeType Values

Country codes follow the three-character ISO standard found here:

<https://www.iso.org/iso-3166-country-codes.html>

For US territories, use USA as the country and then use the State Code.

7.3.2 United States State and Territory StateTypeCode Values

United States State and Territory codes

AL	Alabama	MN	Minnesota	VT	Vermont
AK	Alaska	MS	Mississippi	VA	Virginia
AZ	Arizona	MO	Missouri	WA	Washington
AR	Arkansas	MT	Montana	WV	West Virginia
CA	California	NE	Nebraska	WI	Wisconsin
CO	Colorado	NV	Nevada	WY	Wyoming
CT	Connecticut	NH	New Hampshire	AS	American Samoa
DE	Delaware	NJ	New Jersey	DC	District of Columbia
FL	Florida	NM	New Mexico	GU	Guam
GA	Georgia	NY	New York	MH	Marshall Islands
HI	Hawaii	NC	North Carolina	FM	Micronesia
ID	Idaho	ND	North Dakota	MP	Northern Marianas
IL	Illinois	OH	Ohio	PW	Palau
IN	Indiana	OK	Oklahoma	PR	Puerto Rico
IA	Iowa	OR	Oregon	VI	Virgin Islands
KS	Kansas	PA	Pennsylvania	AA	America
KY	Kentucky	RI	Rhode Island	AE	Europe
LA	Louisiana	SC	South Carolina	AP	Pacific
ME	Maine	SD	South Dakota	FO	Foreign Address
MD	Maryland	TN	Tennessee	NA	Not Available
MA	Massachusetts	TX	Texas		
MI	Michigan	UT	Utah		