



## NAUPA III Standard File Format Changelog

### V1.2:

MS005 – Added rebate to the description for clarity

4.2 – changed TypeCode to Mandatory and Confirmation Number to If Known

4.4.3.2.7 – Fixed hyperlink for ContactType

7.1.1 – Added Software to ContactType to bring in line with XML

### V1.3:

4.4.3.2 - HIPAAIndicator - added M/K/E and Repeat information. K / 0..1

4.4.3.2.7.2.1 - Fixed hyperlink numbering for TypeCode

4.4.3.2.10 - First SubElement renamed Interest

4.4.3.2.11 and 4.4.3.2.11.9 - Clarified definition of Symbol

4.4.4 - Negative Report Element updated to just require Contact information

5.2 - SecurityNumberOFShares increased the digits allowed in the field to (14,6)

### V 1.4:

4.4. Holder Element - TaxIDExtension adjusted to 4 digits to match XSD

4.4.3.2.15 TypeCode - Updated definition for adjustments

4.5 - Version - updated definition to clarify what this element is and where to find it.



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7.1.1 Contact TypeCode - adjusted elements to align with xml

7.1.5 TelephoneNumberType - updated description to clarify this is a free-form field and provide examples of common types.

7.2 PercentageType - digits increased to 3 before and 10 after the decimal.

7.3.1 - CountryCodes - updated description to clarify how to report US Territories.